



ACCOUNT APPLICATION FORM

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT :

To help the government fight the funding of terrorism and money laundering activities, the government requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

Enter Your Account Holder Information

Securities industry regulations require that we collect all of the following information.

Primary Account Holder		Co-Account Holder, if applicable	
Name (first, middle initial, last)		Name (first, middle initial, last)	
Home Street Address		Home Street Address	
City, State, ZIP		City, State, ZIP	
Home Phone	Business Phone	Home Phone	Business Phone
Email Address	Date of Birth (mm/dd/yyyy)	Email Address	Date of Birth (mm/dd/yyyy)
Employment Status <input type="checkbox"/> employed <input type="checkbox"/> self - employed <input type="checkbox"/> retired <input type="checkbox"/> not employed		Employment Status <input type="checkbox"/> employed <input type="checkbox"/> self - employed <input type="checkbox"/> retired <input type="checkbox"/> not employed	
Employer	Specific Occupation	Employer	Specific Occupation
Business Street Address		Business Street Address	
City, State, ZIP		City, State, ZIP	
Are you employed by a registered broker-dealer or a securities exchange? <input type="checkbox"/> No <input type="checkbox"/> Yes (you must submit a compliance letter)		Are you employed by a registered broker-dealer or a securities exchange? <input type="checkbox"/> No <input type="checkbox"/> Yes (you must submit a compliance letter)	
Are you an officer, or director of a publicly held company? <input type="checkbox"/> No <input type="checkbox"/> Yes specify companies _____		Are you an officer, or director of a publicly held company? <input type="checkbox"/> No <input type="checkbox"/> Yes specify companies _____	
Are you a 10% shareholder, or policymaker of a publicly held company? <input type="checkbox"/> No <input type="checkbox"/> Yes specify companies _____		Are you a 10% shareholder, or policymaker of a publicly held company? <input type="checkbox"/> No <input type="checkbox"/> Yes specify companies _____	
If you have been at your current address for less than six months, please provide your previous address.			
Street Address		Street Address	
City, State, ZIP		City, State, ZIP	

Create Your Investment Profile

Securities industry regulations require that we collect all of the following information. For joint accounts please include combined amounts.

Investment Objective for This Account (choose only one) <input type="checkbox"/> Capital preservation (Minimize the potential for any loss of principal) <input type="checkbox"/> Income (Provide current income rather than growth of principal) <input type="checkbox"/> Growth (Increase investment value over time while accepting price fluctuations) <input type="checkbox"/> Speculation (Assume the highest degree of risk for potentially higher returns.	Investment Experience <input type="checkbox"/> None <input type="checkbox"/> Limited <input type="checkbox"/> Good <input type="checkbox"/> Excellent	Annual Income <input type="checkbox"/> \$0-\$14,999 <input type="checkbox"/> \$15,000-\$24,999 <input type="checkbox"/> \$25,000-\$49,999 <input type="checkbox"/> \$50,000-\$99,999 <input type="checkbox"/> \$100,000-\$199,999 <input type="checkbox"/> \$200,000+	Approximate Net Worth (excluding residence) <input type="checkbox"/> \$0-\$24,999 <input type="checkbox"/> \$25,000-\$49,999 <input type="checkbox"/> \$50,000-\$99,999 <input type="checkbox"/> \$100,000-\$499,999 <input type="checkbox"/> \$500,000-\$999,999 <input type="checkbox"/> \$1,000,000+	Approximate Liquid Net Worth (cash, stocks, etc.) <input type="checkbox"/> \$0-\$14,999 <input type="checkbox"/> \$15,000-\$24,999 <input type="checkbox"/> \$25,000-\$49,999 <input type="checkbox"/> \$50,000-\$99,999 <input type="checkbox"/> \$100,000-\$199,999 <input type="checkbox"/> \$200,000-\$499,999 <input type="checkbox"/> \$500,000-\$999,999 <input type="checkbox"/> \$1,000,000+

Financial Activities

Primary Account Holder		Co-Account Holder, if applicable	
Marital Status <input type="checkbox"/> single <input type="checkbox"/> married <input type="checkbox"/> divorce <input type="checkbox"/> widowed		Marital Status <input type="checkbox"/> single <input type="checkbox"/> married <input type="checkbox"/> divorce <input type="checkbox"/> widowed	

Number of Dependents (including Self) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> other : _____	Number of Dependents (including Self) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> other : _____																																																												
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Fund Your Account

Tell us how you'll provide your initial deposit. Check one or more of the following.

- I have enclosed a check for \$_____ made payable to Talisman Finance
- My completed Account Transfer Form is attached.
- I will transfer funds from an existing account

Risk Disclosure

The views expressed in investment recommendations accurately reflect the personal opinion of the analyst(s) regarding the securities or issuers in question; no part of the compensation of the analyst(s) was, is, or will be related to specific recommendations or views expressed in investment recommendations.

None of the investment recommendations constitute investment advice or an offer or solicitation to acquire or sell financial instruments. Whilst reasonable care has been taken to ensure that the information contained in investment recommendations are not untrue or misleading at the time of publication. Talisman Finance makes no representation as to its accuracy and completeness. The information contained in investment recommendations is subject to change without notice.

Sign and Date Your Application

I am of legal age to enter into this contract. I acknowledge that I have received, read, and agree to be bound by the terms and conditions as currently set forth in the Talisman Finance Agreement and as amended from time to time. I ACKNOWLEDGE THAT TALISMAN FINANCE DOES NOT PROVIDE INVESTMENT, TAX, OR LEGAL ADVICE. I understand that you will supply my name to issuers of any securities held in my account so that I might receive any important information regarding them, unless I notify you in writing not to do so.

I acknowledge that I have read and agree to the Rate and Fee Schedule and the Account Agreement with Talisman Finance.

I UNDERSTAND THAT THIS ACCOUNT IS GOVERNED BY A PREDISPUTE ARBITRATION CLAUSE. I acknowledge that I have received and read a copy of the TALISMAN FINANCE CUSTOMER AGREEMENT which contains a pre-dispute Arbitration Agreement.

Signature of Primary Account Holder
Date

Signature of Co - Account Holder
Date

Printed Name

Printed Name